## JAP15 Rec'd PCT/PTO 03 NOV 2006

Express Mail No. EV801349236US

FORM PTO-1390 (REV. 10-2003)  U.S. DEPARTMENT OF COMMENCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 11A 3916 PCT		
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (PO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 10/594,979	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/JP2004/014121 21 September 2004			29 March 2004	
TITLE OF INVENTION AIRCRAFT HAVING A RING-SHAPED WING STRUCTURE				
APPLICANT(S) FOR DO/EO/US RIEKEN, WILLIAM D. and BENSCHEIDT, JOERG				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.				
2. 🛛	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3. 🗵	3. A This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.			
4. 🗆	The US has been elected (Article 31).			
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a.			
6. 🗆	<ul> <li>An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>a.</li></ul>			
7. 🗆	<ul> <li>Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li>a.  are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul>			
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. 🛛	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.	10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 19 below concern document(s) or information included:				
11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.				
12. 🛛	An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.			
13.	A preliminary amendment.			
14.	An Application Data Sheet under 37 CFR 1.76.			
15.	15. A substitute specification.			
16. 🔲	16. A power of attorney and/or change of address letter.			
17. 🔲	A second copy of the published international application under 35 U.S.C. 154(d)(4).			
18. A. second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				
19.	19.  Other items or information:			

U.S. APPLICATION NO. INTERNATIONAL ATTORNEY'S (if known, see 37 C.F.R. 1.5) APPLICATION NUMBER DOCKET NUMBER 10/594,979 PCT/JP2004/014121 11A 3916 PCT 20. The following fees are submitted: CALCULATIONS PTO USE ONLY Basic national fee (37 CFR 1.492(a))......\$300 Examination fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0 All other situations.....\$200 Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations......\$500 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ Surcharge of \$130 for furnishing the oath or declaration later than □ 20 ☑ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). \$ 130 NUMBER **CLAIMS** NUMBER **NUMBER RATE FILED** ALLOWED **EXTRA** Total claims 20 4 \$ 50 \$ 0 Independent claims 3 0 \$ 200 \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ 0 TOTAL OF ABOVE CALCULATIONS = \$130 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ 65 SUBTOTAL = \$ 65 Processing fee of \$130 for furnishing the English translation later than ☐ 20 □ 30 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). TOTAL NATIONAL FEE = \$ 65 Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property \$ 0 11/00/2005 37 TOTAL FEES ENCLOSED = \$ 65 Amt. Refunded \$ CE. 00 00 Amt. charged  $\boxtimes$ Check in the amount of \$\\$65\\_\ to cover the above fees are enclosed. Please charge my Deposit Account No. 11-1445 in the amount of \_\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  $\boxtimes$ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 1140 Los Angeles, CA 90067-2983 Dated: November 3, 2006 H. Henry Koda REG.NO. 27,729 Form PTO-1390 (REV 10-2003)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WILLIAM D. RIEKEN et al.

Serial No.:

10/594,979

Filed: September 29, 2006

For:

AIRCRAFT HAVING A RING-SHAPED

WING STRUCTURE

## TRANSMITTAL OF DECLARATION AND ASSIGNMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Box: PCT

Dear Sir:

In regards to the above-identified application which was entered into the U.S. National Stage without the Declaration of the inventors, a notification pursuant to 37 CFR 1.494(c) has not yet been issued or sent to the undersigned. However, pursuant to the instructions given to the undersigned from the PCT Help Desk, enclosed herewith please find an executed Declaration & Power of Attorney form and a check in the amount of \$65.00 as the late filing fee so that the requirements for this application can be met. In addition, enclosed please find an executed Assignment, Recordation Form Cover Sheet and check in the sum of \$40.00 as the recordation fee.

If any further fees are required by the acceptance of the enclosed forms, please charge those fees to KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,

Date: November 3, 2006

Henry Koda

Registration No. 27,729

KODA & ANDROLIA

2029 Century Park East, Suite 1140 Los Angeles, California 90067-2983

Telephone: 310-277-1391 Facsimile: 310-277-4118

I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EV801349236US addressed to:

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

on November 3, 2006, by Inja Yi.

Dated: 1/3/06 By: \_\_\_\_

11A 3916 PCT

**TSUJIMOTO** 

Applicant(s):

RIEKEN, WILLIAM D. and BENSCHEIDT, JOERG

For:

AIRCRAFT HAVING A RING-SHAPED WING STRUCTURE